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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 09/726,793  
Applicant(s): Lerner, et al.  
Filed: 12/01/2000  
Art Unit: 2164  
Examiner: Jeffrey C. Pwu  
Title: ELECTRONIC PURCHASE AND  
SALE OF SECURITIES SYSTEM AND METHOD

Confirmation No.: 5692

Docket No.: 049056/280484  
Customer No.: 00826

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT**  
**37 C.F.R. § 1.121**

Sir:

In response to the Office Action dated February 23, 2004, please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims beginning on page 2 of this paper.

**Remarks/Arguments** begin on page 5 of this paper.